



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**TRANSMITTAL  
FORM**

(to be used for all correspondence after filing)

Total number of Pages in this submission

Application Number	09/686,004
Filing Date	October 10, 2000
First Named Inventor	Nguyen, et al.
Group Art Unit	3731
Examiner Name	U. Ho
Attorney Docket No.	CSI-2013

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ENCLOSURES (check all that apply)**

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| <input checked="" type="checkbox"/> FEE TRANSMITTAL FORM(1PG)                | <input checked="" type="checkbox"/> ASSIGNMENT AND RECORDED SHEET(3PGS)                                  | <input type="checkbox"/> AFTER ALLOWANCE COMMUNICATION TO GROUP                            |
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- ☐ ISSUE FEE TRANSMITTAL  
☐  
☐

**REMARKS:**

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APR 05 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Nguyen, et al.

Serial No.: 09/686,004

Filing Date: October 10, 2000

For: Minimally Invasive Valve Repair Procedure  
and Apparatus

Examiner: U. Ho

Group Art Unit: 3731

**CERTIFICATE UNDER 37 CFR § 3.73(b)**

**Coalescent Surgical, Inc.**, a **Delaware** corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the parent application identified above.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_,  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ A copy of the assignment is attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

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I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United State Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 3/21/02

By: Charles Maroney  
President and CEO